

## **DISCLOSURE DOCUMENT INVESTMENT ADVISORY SERVICES**

**The particulars given in this Disclosure Document have been prepared in accordance with SEBI (Investment Advisers) Regulations, 2013**

### **Objective:**

The purpose of the Document is to provide basic and essential information about the Investment Advisory Services to assist the perspective client in making an informed decision for engaging Investment Advisor for availing its services.

SEBI Disclaimer: This document has been prepared in pursuant to Regulation 18 of the Securities and Exchange Board of India (Investment Advisers) Regulations, 2013. This document has neither been approved nor disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of the contents of this Document.

### **About the Company:**

Omkara Capital Private Limited is registered with the Securities and Exchange Board of India (“SEBI”) as an Investment Advisor under SEBI (Investment Advisers) Regulations, 2013 (“IA regulations”) vide registration no. INA000016825 dated April 11, 2022, pursuant to which it provides investment advisory services to its clients.

Omkara Capital Private Limited is also registered with the Securities and Exchange Board of India (“SEBI”) as a Research Analyst having registration no. INH000008206.

### **About Business activities:**

Scope of Services to be provided by the Advisor will be limited/ subject to the Advisory services which are permitted activity under SEBI (Investment Advisers) Regulations, 2013 including guidelines issued by SEBI and the Advisor shall act in a fiduciary capacity.

The Scope of Services covered is as follows:

- a) Advise the Client regarding the Securities to be purchased or sold or Investments to be made as per the applicable law for the time being in force and Investment Pattern applicable to class of investor;
- b) Portfolio Analysis and MIS reports, subject to the Client sharing required data
- c) Review, evaluate, structure and monitor Investments so as to achieve the Client's objectives;
- d) Periodic Macroeconomic/ Fixed Income/ other reports and regulatory reports/releases.

Any other services as may be mutually agreed between the Advisor and the Client as per the applicable law for the time being in force.

### **Disciplinary History:**

No action has been taken against the Company by any regulator.

**Affiliation with other intermediaries:**

SEBI Registered Research Analyst - (SEBI Reg. No. INH000008206).

**Disclosure about conflict of interest:**

Investment Advisory (IA) services shall be provided to you by a specific division of Omkara Capital, which will be segregated from and maintain an arm's length relationship with the other divisions of Omkara Capital which is engaged in research.

Omkara Capital for its own proprietary purposes may invest / divest in various securities / investments, from time to time at its own discretion which will be undertaken by a separate and dedicated team. The said segregation will ensure avoidance of conflict of interest with regard to the investment advisory and related business of the Company. Such proprietary investment transactions may at times be contrary to the investment advice.

Omkara Capital do not have any distribution or execution arrangement with the issuers of the securities, that Omkara Capital advises on.

Omkara Capital does not recommend services of any stock broker or intermediary to a client. Omkara Capital does not have any commission sharing agreement with any intermediary for recommending the services either as a stock broker or as other intermediary.

Omkara Capital does not have Actual or potential conflicts of interest arising from any connection to or association with any issuer of products/ securities, including any material information or facts that might compromise its objectivity or independence in the carrying on of investment advisory services

**Disclosure of all material facts relating to the key features of the products or securities, particularly, performance track record, warnings, disclaimers etc.**

Clients are requested to go through the detailed key features, performance track record of the product, or security including warnings, disclaimers etc. before investing as and when provided by the Investment Advisor. Such product materials may also be available to [www.sebi.gov.in](http://www.sebi.gov.in) or [www.nseindia.com](http://www.nseindia.com) or [www.bseindia.com](http://www.bseindia.com).

**Other disclosures:**

The Principal Officer and the persons associated with investment advice may have holding or position in the financial products or securities which are subject matter of advice.